

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent No. : **7,376,609**  
Issued : **May 20, 2008**

Serial No. : 09/845,669  
Filed : April 30, 2001

Applicants : **Brian James Clark et al.**  
Title : Maximization of a Hedged Investment Budget for an Index-Lined Insurance Product

TC/AU : 3963  
Examiner : Jocelyn Greimel

Docket No. : 26548-0007  
Customer No. : 29052

**REQUEST FOR CERTIFICATE OF CORRECTION  
PURSUANT TO 37 C.F.R. § 1.323**

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Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir or Madam:

Pursuant to 35 U.S.C. §255 and 37 C.F.R. §1.323, the Applicants respectfully request the issuance of a Certificate of Correction. The patentee shows that the requested corrections are to address minor typographical errors in the claims and that the corrections do not involve a change that would constitute new matter or require reexamination. *See MPEP § 1481.* The text of the correction matter is submitted in the enclosed Certificate of Correction Form PTO/SB/44.

The fee set forth in 37 C.F.R. §1.20(a) of \$100.00 is submitted herewith.

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## CONCLUSION

Any questions maybe directed to the undersigned at 404.853.8253. The Patent Office is requested to charge any additional fees or to credit any overpayments to Deposit Order Account 19-5029.

Respectfully submitted,

/William T. Cook/

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**Date: August 4, 2008**

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Staple  
Here  
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**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**CERTIFICATE OF CORRECTION**

**PATENT NO. : 7,376,609 B2**

**DATED : May 20, 2008**

**INVENTOR(S): Brian James Clark and William Jeffrey Heng**

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

At column 10, line 21, after "the" delete "insu" and insert --insurance--.

At column 11, line 9, after "guaranteed" delete "principle" and insert --principal--.

At column 13, line 20, after "step" delete "508" and insert --510--.

At column 16, line 27, after "1" insert --wherein--.

At column 16, line 34, after "the" delete "animal" and insert --annual--.

At column 17, line 24, after "the" delete "hinds" and insert --funds--.

At column 17, line 46, after "method" insert --of--.

At column 18, line 29, after "on" insert --a--.

At column 18, line 54, delete "finds" and insert --funds--.

At column 19, line 23, after "32," insert --wherein--.

At column 19, line 36, after "-of" insert ---.

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PATENT NO. 7,376,609 B2

Attorney Docket No.: 26548-0007

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, 22313-1450.